

Customer Number: 414

jc498 U.S. PTO  
03/30/99

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION SERIAL NUMBER: 08/479,306	PRIOR APPLICATION FILING DATE: JUNE 7, 1995
BBI-013C3CN2	CLASS:	SUBCLASS:	EXAMINER: B. CAMPELL	ART UNIT: 1632

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, DC 20231

**CERTIFICATION UNDER 37 CFR 1.10**

Date of Deposit: March 30, 1999 Mailing Label Number: EL095 523 699US

I hereby certify that this 37 CFR 1.53(b) request and the documents referred to as attached therein are being deposited with the United States Postal Service on the date indicated above in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Viriato G. Cardoso  
Name of Person Mailing Paper

Viriato G. Cardoso  
Signature of Person Mailing Paper

jc640 U.S. PTO  
09/281674

Dear Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. 08/479,306 filed on June 7, 1995, of Hermann Bujard, Manfred Gossen, Jochen G. Salfeld, Jeffrey W. Voss entitled METHODS FOR REGULATING GENE EXPRESSION which

1. ☒ Enclosed is a copy of the latest inventor signed application, including the oath or declaration as originally filed. The copy of the enclosed papers is as follows:

- ☒ 68 page(s) of specification  
☒ 2 page(s) of claims  
☒ 1 page(s) of abstract  
☒ 17 sheet(s) of drawing  
☒ 5 page(s) of declaration and power of attorney.

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I hereby verify that the attached papers are a true copy of the prior complete application  
serial no. 08/479,306 as originally filed on June 7, 1995.

2. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27, a copy of which is enclosed, was filed in the prior application and such status is still proper and desired (37 CFR 1.28(a)).

3. ☒ The filing fee is calculated below:

### SMALL ENTITY

OTHER THAN

SMALL

ENTITY				
	NUMBER OF CLAIMS FILED			NUMBER EXTRA
TOTAL	* 17	MINUS	** 20	= 0
INDEP.	* 2	MINUS	*** 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				

☐ **MULTIPLE DEPENDENT CLAIMS**

RATE	FEE
x 9 =	\$ .00
x 39 =	\$ .00
+130 =	\$ .00
BASIC FEE	\$ .00
TOTAL	\$0.00

OF

RATE	FEE
x 18 =	\$0.00
x 78 =	\$0.00
+ 260 =	\$0.00
<b>BASIC FEE</b>	<b>\$760.00</b>
<b>TOTAL</b>	<b>\$760.00</b>

OF

4. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

5. ☒ A check in the amount of \$760.00 is enclosed for payment of the filing fee.

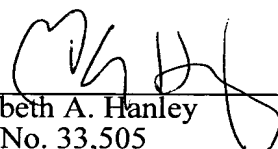
6. ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
7. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claims in the prior application.)

8. ☒ Amend the specification by inserting before the first line the sentences: "This application is a continuation application of serial no. 08/479,306 filed on June 7, 1995, Issuing 3/30/99 as USPN 5,888,981, which in turn is a continuation-in-part application of serial no. 08/260,452 filed on June 14, 1994, Issued 7/22/97 as USPN 5,650,298. The contents of all of the aforementioned application(s) are hereby incorporated by reference."

9. ☒ New informal drawings are enclosed (32 sheets, Figs. 1-14).
10. ☒ The prior application is assigned of record to BASF Aktiengesellschaft and Knoll Aktiengesellschaft, both of Ludwigshafen, Germany.
11. ☒ Also enclosed is: Copy of inventor executed Declaration/Power of Attorney as filed on 9/14/1995 (10 pp.); postpaid return postcard.
12. ☒ The power of attorney in the prior application is to Elizabeth A. Hanley, Reg. 33,505.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
13. ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record)  
to Elizabeth A. Hanley at Customer Number: **000959** whose address is:
- Lahive & Cockfield, LLP  
28 State Street  
Boston, Massachusetts 02109
17. ☒ Any requests for extensions of time necessary in a parent application for establishing copendency between this application and a parent application are hereby requested and the Commissioner is authorized to charge any fee associated with such an extension to Deposit Account No. 12-0080.
18. ☒ Pursuant to 37 CFR 1.821(e), the computer readable form of the sequence listing for this new application is to be identical with the computer readable form of application serial no. 08/479,306. Please use the computer readable form of application serial no. 08/479,306 in lieu of filing a duplicate computer readable form in this application. Pursuant to 37 CFR 1.821(f), the content of the paper copy of the sequence listing for this new application and the computer readable form of application serial no. 08/479,306 are the same.

March 30, 1999  
Date

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
Tel. (617) 227-7400

  
Elizabeth A. Hanley  
Reg. No. 33,505  
☐ inventor(s) ☐ filed under §1.34(a)  
☐ assignee of complete interest  
☒ attorney or agent of record